

DISCIPLINARY ACTION

PRIVATE ADMONITION. ODC File No. 113587-B Effective Date: December 30, 2019

A panel of the Preliminary Review Committee (“PRC”) authorized the Office of Disciplinary Counsel (“ODC”) to offer the Respondent attorney the sanction of private admonition for violation of Rule 5.3 of the Delaware Lawyers’ Rules of Professional Conduct in connection with the lawyer’s supervision of non-lawyer staff. The PRC determined there was probable cause to find Respondent failed to have reasonable safeguards in place, which would assure employees’ conduct was compatible with the professional obligations of the lawyer. In recommending the Private Admonition, the Board considered as mitigating factors the absence of prior discipline, timely restitution, and cooperation with ODC’s investigation.