

## DISCIPLINARY ACTION

**PRIVATE PROBATION. ODC File No. 113653-B. Effective Date: November 21, 2018.**

A Delaware lawyer was placed on private probation for two years for violations of the Delaware Lawyers' Rules of Professional Conduct ("Rules") in connection with his failure to maintain his law practice's books and records. The private sanction was offered by a Panel of the Preliminary Review Committee ("PRC") and imposed with the consent of the lawyer. The lawyer admitted violations of **Rule 1.15(d)** and **Rule 8.4(d)**. The Lawyers' Fund for Client Protection conducted an audit in 2017 which revealed deficiencies in the books and records. The lawyer also made misrepresentations as to the status of the firm's books and records to the Supreme Court on the 2017 Certificate of Compliance.